

# Externalities of Colonial Schools

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# African School of Economics



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- Hugely benefited from ASE's academic infrastructure.
- Three master's and four bachelor's programs.
- Campuses in Benin, Côte d'Ivoire, and soon Nigeria.
- Joint degrees in South Africa and Hunter College targeting URM's.
- Placements in top PhD programs.

## This project

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- On the basis of the historical context of the creation of the schools, we assume location and cohort selection were exogenous.
- Exploit the timing and location of colonial institutions, using a two-step hierarchical design.

# Research questions

1. *What externalities did colonial schools have on the first three generations?*
2. *What were the mechanisms?*
  - Labor market, income, aspirations, redistributive social norms, locus of control?

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## Preview of results

- The treated have better living standards, less likely to be farmers, have wider social ties.
- Significant family and village externalities: second generation descendants of the “village-treated individuals” have better outcomes than those in control villages. They close the income gap with descendants of the “individually treated.”
- Family-level externalities run partly through a “family tax” and village-level externalities run partly through grandparents’ aspirations.

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- Significant income and education mobility of the third generation. More so for descendants of village treated grandparents, particularly in low and middle income categories.
- Mobility of the third generation is sustained by positive attitudes (self-reliance, work ethics, positive life outlook).

## Context: Benin

**LE PERE PIERRE  
SCHENKEL**



**LE PERE PIERRE  
PICHAUD**



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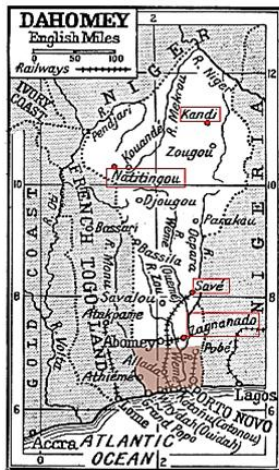
## Context: Benin



- In November 1894, two missionaries decided to explore the source of the Ouémé River.
- They almost died in the Zagnanado region but were saved by local villagers.
- In October 1895, a school was created, following a near-random draft of 33 local children.

# Site selection

Figure A.1: Historical Map of Benin, Four School Sites Selection

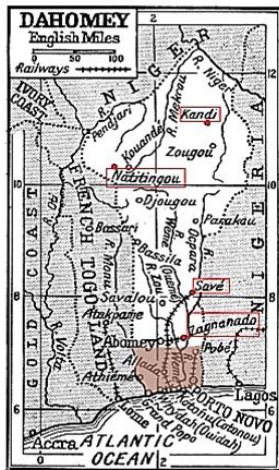


Note: Each of the four school sites are indicated in red. Shaded area from Abomey to the coast signifies areas that are excluded from control sampling because they already possess various colonial infrastructures.

- Criteria for selection of treatment sites:
  1. 100 km from the Atlantic Coast, north of the Dahomey Kingdom that was impenetrable to European settlers prior to Colonial conquest (D’Almeida Topor, 1995); no prior European settlements or institutions.

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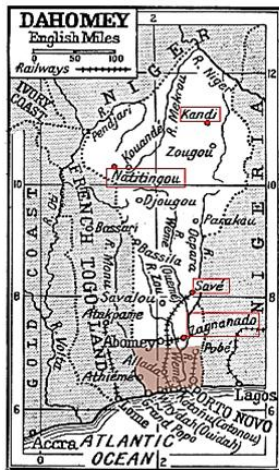
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  2. No formal educational institution exists in these regions, prior to the creation of the school; limited self-selection in education.
- Sites selected: Zagnanado (1895), Kandi (1913), Save (1911), Natitingou (1922).

## Sampling procedure: first generation

- Treatment 1 (T1): Students who attended the first schools within the first three years of their establishment. Identified using archives, admin records, documents from local colonial office, church records, and interviews with informants.

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- Treatment 2 (T2): Subjects who lived within 7 km of the first schools but did not attend. “Backward” sampling of those from same generation as T1.
  - Type 1: those who lived in a village that had at least one T1 subject.
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- Control: Subjects who lived within 7-to-20 km of the first schools. “Backward” sampling of subjects from three randomly selected villages from this area.
- Socio-demographic surveys of T1, T2, and Control (age, profession, education, income, siblings [maximum 10], etc.).

# Sampling procedure: subsequent generations

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  - Select up to three wives of the first-generation subject.
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  - List all the nephews and nieces.



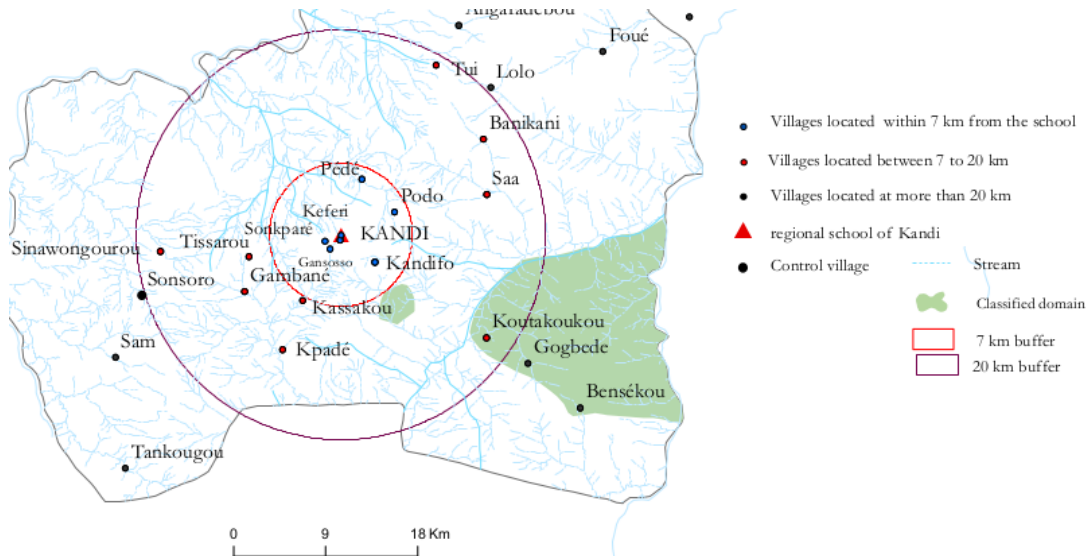
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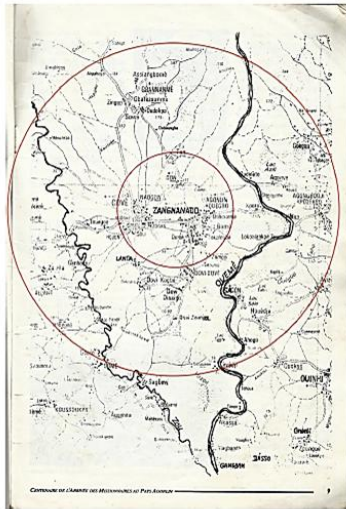
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  - Select up to seven siblings of the first-generation subject.
  - List all the nephews and nieces.
  - Sample up to seven nephews and nieces. Ensure gender proportionality.
- Identify the descendants of the second-generation subjects.
  - Follow the same procedure as for the descendants of first-generation subjects.

# Empirical design: treatment and counterfactual villages



## Empirical design: Zagnanado

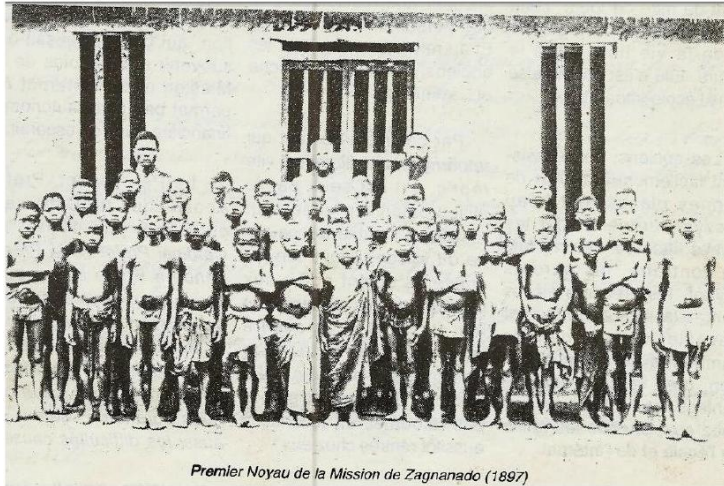
Figure A.8: Historical Map of Zagnanado



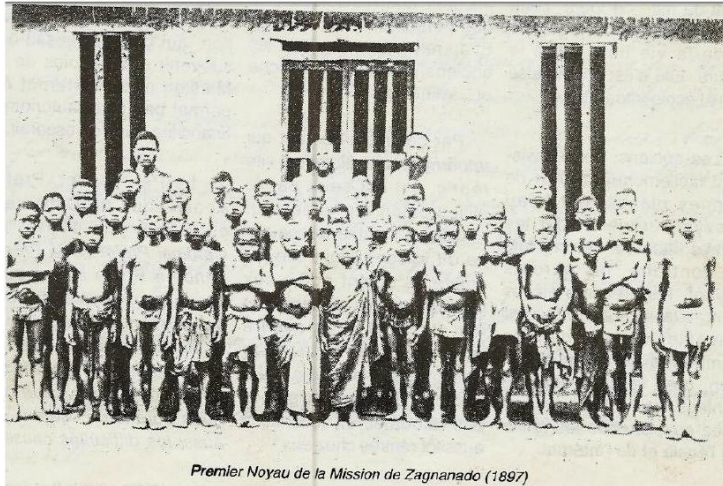
## Empirical design: balance on village characteristics

District	Save		Natitinguou		Kandi		Zagnanado	
Village	Boni (T)	Okpara	Winke (T)	Koudengou	Keferi (T)	Sonsoro	Assiadji (T)	Kpedekpo
Elevation (m)	211	163	462	444	294	289	100	37
Soil Quality	0.49	0.49	0.51	0.51	0.51	0.51	1.77	3.22
Average Rainfall (mm)	150	150	108	108	92	92	83	83
Distance to Port (km)	188	186	451	462	530	525	95	98
Navigable Rivers	1	1	0	0	1	1	1	1
Kingdom	0	0	0	0	0	0	1	1
Trading Post	0	0	0	0	0	0	0	0
Years of Resistance	4	4	23	23	22	22	31	31

## Students in the first generation



# Students in the first generation



	NOM ET PRÉNOM DE L'ENFANT	DATE DE LA NAISSANCE	NOM ET PRÉNOM DE L'ENFANT DE L'ÉCOLE	PROFESSEUR ET DROITS DE L'ENFANT DE L'ÉCOLE	DATE DE L'ARRIVÉE À L'ÉCOLE
11	<del>Adama (Noyau)</del>	1904	Nang	en service militaire	17/10/11
12	<del>La (Noyau)</del>	1910	Harbina	marabout	17/10/11
13	<del>Alouane (Noyau)</del>	1911	Banor	marabout	17/10/11
14	<del>Amou (Noyau)</del>	1914	San La (Noyau)	marabout	17/10/11
15	<del>Amou (Noyau)</del>	1915	Amou (Noyau)	marabout	17/10/11
16	<del>La (Noyau)</del>	1916	Amou (Noyau)	marabout	17/10/11
17	<del>Amou (Noyau)</del>	1919	Billi (Noyau)	marabout	17/10/11
18	<del>Amou (Noyau)</del>	1920	Amou (Noyau)	marabout	17/10/11
19	<del>Billi (Noyau)</del>	1926	Billi (Noyau)	marabout	17/10/11
20	<del>Amou (Noyau)</del>	1927	Amou (Noyau)	marabout	17/10/11

# The first two generations



# First generation: summary statistics

	Treated parents	Untreated parents in village w/ school	Untreated parents in village w/o school
<b>Living Standards</b>			
Farmer			
Water			
Electricity			
Means of transportation			
Living standards scale			
<b>Politics</b>			
Member of party			
<b>Networks</b>			
French language			
White friends			
Social networks scale			
Observations	89	164	152

▸ Balance checks

▸ Recall bias

▸ Informants

▸ Informant characteristics

▸ First-generation: education

# First generation: summary statistics

	Treated parents	Untreated parents in village w/ school	Untreated parents in village w/o school
<b>Living Standards</b>			
Farmer	0.143 (0.352)		
Water			
Electricity			
Means of transportation			
Living standards scale			
<b>Politics</b>			
Member of party			
<b>Networks</b>			
French language			
White friends			
Social networks scale			
Observations	89	164	152

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# First generation: summary statistics

	Treated parents	Untreated parents in village w/ school	Untreated parents in village w/o school
<b>Living Standards</b>			
Farmer	0.143 (0.352)	0.784 (0.414)	
Water			
Electricity			
Means of transportation			
Living standards scale			
<b>Politics</b>			
Member of party			
<b>Networks</b>			
French language			
White friends			
Social networks scale			
Observations	89	164	152

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# First generation: summary statistics

	Treated parents	Untreated parents in village w/ school	Untreated parents in village w/o school
<b>Living Standards</b>			
Farmer	0.143 (0.352)	0.784 (0.414)	0.842 (0.367)
Water			
Electricity			
Means of transportation			
Living standards scale			
<b>Politics</b>			
Member of party			
<b>Networks</b>			
French language			
White friends			
Social networks scale			
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# First generation: summary statistics

	Treated parents	Untreated parents in village w/ school	Untreated parents in village w/o school
<b>Living Standards</b>			
Farmer	0.143 (0.352)	0.784 (0.414)	0.842 (0.367)
Water			
Electricity			
Means of transportation			
Living standards scale			
<b>Politics</b>			
Member of party			
<b>Networks</b>			
French language			
White friends			
Social networks scale	1.661 (0.864)	-0.350 (0.539)	-0.451 (0.425)
Observations	89	164	152

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# First generation: summary statistics

	Treated parents	Untreated parents in village w/ school	Untreated parents in village w/o school
<b>Living Standards</b>			
Farmer	0.143 (0.352)	0.784 (0.414)	0.842 (0.367)
Water	0.258 (0.440)	0.146 (0.355)	0.092 (0.290)
Electricity	0.101 (0.303)	0.024 (0.155)	0.007 (0.081)
Means of transportation	0.476 (0.502)	0.182 (0.387)	0.195 (0.397)
Living standards scale	0.677 (1.159)	-0.195 (0.887)	-0.188 (0.835)
<b>Politics</b>			
Member of party	0.425 (0.498)	0.107 (0.311)	0.050 (0.219)
<b>Networks</b>			
French language	0.955 (0.208)	0.085 (0.280)	0.013 (0.114)
White friends	0.457 (0.502)	0.084 (0.278)	0.035 (0.186)
Social networks scale	1.661 (0.864)	-0.350 (0.539)	-0.451 (0.425)
Observations	89	164	152

► Balance checks

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## Second generation: summary statistics

	Treated parents	Untreated parents in village w/ school	Untreated parents in village w/o school
<b>Education</b>			
Primary or more			
Secondary or more			
University			
<b>Living Standards</b>			
Farmer			
Water			
Electricity			
Telephone			
Means of transportation			
<b>Networks</b>			
Speaks French			
Speaks English			
Observations	772	1026	711

## Second generation: summary statistics

	Treated parents	Untreated parents in village w/ school	Untreated parents in village w/o school
<b>Education</b>			
Primary or more	0.669 (0.471)		
Secondary or more			
University			
<b>Living Standards</b>			
Farmer			
Water			
Electricity			
Telephone			
Means of transportation			
<b>Networks</b>			
Speaks French			
Speaks English			
Observations	772	1026	711



## Second generation: summary statistics

	Treated parents	Untreated parents in village w/ school	Untreated parents in village w/o school
<b>Education</b>			
Primary or more	0.669 (0.471)	0.520 (0.500)	
Secondary or more			
University			
<b>Living Standards</b>			
Farmer			
Water			
Electricity			
Telephone			
Means of transportation			
<b>Networks</b>			
Speaks French			
Speaks English			
Observations	772	1026	711

## Second generation: summary statistics

	Treated parents	Untreated parents in village w/ school	Untreated parents in village w/o school
<b>Education</b>			
Primary or more	0.669 (0.471)	0.520 (0.500)	0.274 (0.446)
Secondary or more			
University			
<b>Living Standards</b>			
Farmer			
Water			
Electricity			
Telephone			
Means of transportation			
<b>Networks</b>			
Speaks French			
Speaks English			
Observations	772	1026	711

## Second generation: summary statistics

	Treated parents	Untreated parents in village w/ school	Untreated parents in village w/o school
<b>Education</b>			
Primary or more	0.669 (0.471)	0.520 (0.500)	0.274 (0.446)
Secondary or more	0.375 (0.484)	0.222 (0.416)	0.115 (0.320)
University	0.104 (0.305)	0.050 (0.218)	0.006 (0.075)
<b>Living Standards</b>			
Farmer	0.079 (0.270)	0.166 (0.372)	0.386 (0.487)
Water	0.536 (0.499)	0.452 (0.498)	0.385 (0.487)
Electricity	0.636 (0.482)	0.504 (0.500)	0.089 (0.284)
Telephone	0.480 (0.500)	0.281 (0.450)	0.079 (0.270)
Means of transportation	0.369 (0.483)	0.275 (0.447)	0.263 (0.441)
<b>Networks</b>			
Speaks French	0.655 (0.476)	0.494 (0.500)	0.248 (0.432)
Speaks English	0.058 (0.235)	0.014 (0.116)	0.007 (0.084)
Observations	772	1026	711

# Third generation

## Third generation: income mobility

Full Sample				
		Third Generation Income Category		
		1	2	3
Second Generation Income Category	1			
	2			
	3			
	N	870	417	727
Control Villages				
		Third Generation Income Category		
		1	2	3
Second Generation Income Category	1			
	2			
	3			
	N	276	63	34

► Matrix for education

► Marginal effects

## Third generation: income mobility

Full Sample				
		Third Generation Income Category		
		1	2	3
Second Generation Income Category	1	<b>30.63%</b>		
	2			
	3			
				N
Control Villages				
		Third Generation Income Category		
		1	2	3
Second Generation Income Category	1			
	2			
	3			
				N

► Matrix for education

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## Third generation: income mobility

Full Sample				
		Third Generation Income Category		
		1	2	3
Second Generation Income Category	1	<b>30.63%</b>		<b>32.49%</b>
	2			
	3			
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## Third generation: income mobility

		Full Sample			
		Third Generation Income Category			
		1	2	3	N
Second Generation Income Category	1	30.63%		32.49%	870
	2				417
	3			77.29%	727
		Control Villages			
		Third Generation Income Category			
		1	2	3	N
Second Generation Income Category	1				276
	2				63
	3				34

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## Third generation: income mobility

Full Sample				
		Third Generation Income Category		
		1	2	3
Second Generation Income Category	1	<b>30.63%</b>		<b>32.49%</b>
	2			
	3			77.29%
	N	870	417	727
Control Villages				
		Third Generation Income Category		
		1	2	3
Second Generation Income Category	1			9.53%
	2			
	3			
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Full Sample				
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Second Generation Income Category	1	<b>30.63%</b>		<b>32.49%</b>
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Control Villages				
		Third Generation Income Category		
		1	2	3
Second Generation Income Category	1			9.53%
	2			
	3			35.33%
	N	276	63	34

► Matrix for education

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## Third generation: income mobility

		Full Sample			
		Third Generation Income Category			
		1	2	3	N
Second Generation Income Category	1	<b>30.63%</b>	36.88%	<b>32.49%</b>	870
	2	14.24%	29.63%	56.13%	417
	3	5.88%	16.83%	77.29%	727
		Control Villages			
		Third Generation Income Category			
		1	2	3	N
Second Generation Income Category	1	57.93%	32.55%	9.53%	276
	2	37.67%	42.98%	19.34%	63
	3	20.97%	43.70%	35.33%	34

► Matrix for education

► Marginal effects

## Third generation: income mobility by treatment status

Treatment Village : Treated Individuals					
Third Generation Income Category					
		1	2	3	N
Second Generation Income Category	1				246
	2				108
	3				727
Treatment Village : Untreated Individuals					
Third Generation Income Category					
		1	2	3	N
Second Generation Income Category	1				348
	2				246
	3				331
Control Villages					
Third Generation Income Category					
		1	2	3	N
Second Generation Income Category	1				276
	2				63
	3				34

## Third generation: income mobility by treatment status

		Treatment Village : Treated Individuals			
		Third Generation Income Category			
		1	2	3	N
Second Generation Income Category	1	28.81%			246
	2	11.72%			108
	3				727

		Treatment Village : Untreated Individuals			
		Third Generation Income Category			
		1	2	3	N
Second Generation Income Category	1	11.90%			348
	2	7.68%			246
	3				331

		Control Villages			
		Third Generation Income Category			
		1	2	3	N
Second Generation Income Category	1				276
	2				63
	3				34

Very large indirect effect  
for lower income  
categories.

## Third generation: income mobility by treatment status

		Treatment Village : Treated Individuals			
		Third Generation Income Category			
		1	2	3	N
Second Generation Income Category	1	28.81%	32.12%	39.06%	246
	2	11.72%	22.13%	66.15%	108
	3				727

		Treatment Village : Untreated Individuals			
		Third Generation Income Category			
		1	2	3	N
Second Generation Income Category	1	11.90%	<b>39.63%</b>	<b>48.47%</b>	348
	2	7.68%	31.87%	<b>60.45%</b>	246
	3				331

		Control Villages			
		Third Generation Income Category			
		1	2	3	N
Second Generation Income Category	1				276
	2				63
	3				34

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## Third generation: income mobility by treatment status

		Treatment Village : Treated Individuals			
		Third Generation Income Category			
		1	2	3	N
Second Generation Income Category	1	28.81%	32.12%	39.06%	246
	2	11.72%	22.13%	66.15%	108
	3			85.62%	727

		Treatment Village : Untreated Individuals			
		Third Generation Income Category			
		1	2	3	N
Second Generation Income Category	1	11.90%	<b>39.63%</b>	<b>48.47%</b>	348
	2	7.68%	31.87%	<b>60.45%</b>	246
	3			71.29%	331

		Control Villages			
		Third Generation Income Category			
		1	2	3	N
Second Generation Income Category	1				276
	2				63
	3				34

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	2	11.72%	22.13%	66.15%	108
	3			85.62%	727

		Treatment Village : Untreated Individuals			
		Third Generation Income Category			
		1	2	3	N
Second Generation Income Category	1	11.90%	<b>39.63%</b>	<b>48.47%</b>	348
	2	7.68%	31.87%	<b>60.45%</b>	246
	3			71.29%	331

		Control Villages			
		Third Generation Income Category			
		1	2	3	N
Second Generation Income Category	1	57.93%	32.55%	9.53%	276
	2	37.67%	42.98%	19.34%	63
	3			35.33%	34

Very large indirect effect for lower income categories.



## Third generation: income mobility by treatment status

		Treatment Village : Treated Individuals			
		Third Generation Income Category			
		1	2	3	N
Second Generation Income Category	1	28.81%	32.12%	39.06%	246
	2	11.72%	22.13%	66.15%	108
	3	4.18%	10.20%	85.62%	727

		Treatment Village : Untreated Individuals			
		Third Generation Income Category			
		1	2	3	N
Second Generation Income Category	1	11.90%	<b>39.63%</b>	<b>48.47%</b>	348
	2	7.68%	31.87%	<b>60.45%</b>	246
	3	4.87%	23.84%	71.29%	331

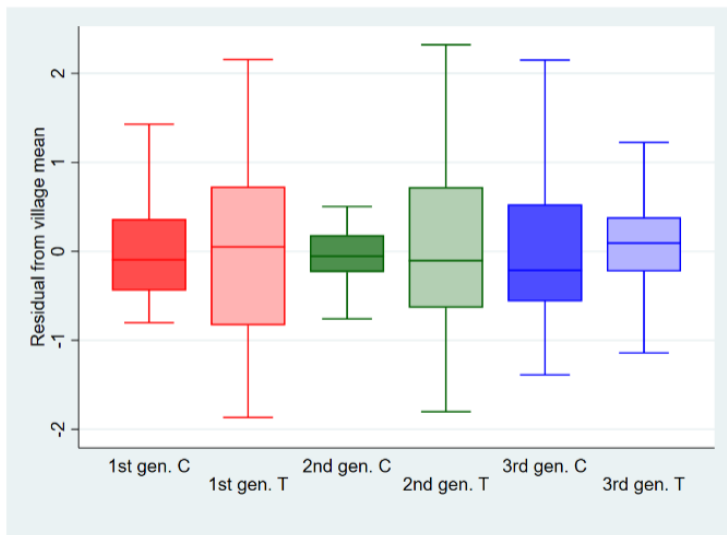
		Control Villages			
		Third Generation Income Category			
		1	2	3	N
Second Generation Income Category	1	57.93%	32.55%	9.53%	276
	2	37.67%	42.98%	19.34%	63
	3	20.97%	43.70%	35.33%	34

Very large indirect effect for lower income categories.

## Third generation: inequality and the Great Gatsby curve

- If schools induced such great income mobility what are the implications for inequality within villages and within families?
- Construct within-village deviations from average wealth and plot by treatment status and generation.

## Third generation: inequality and the Great Gatsby curve



# Third generation: attitudes

	(1)	(2)	(3)	(4)	(5)
	Time Preference	Mental Health	Work Ethic	Self Reliance	Life Outlook
Social Mobility					
Gender					
Entrepreneur					
Income					
Individual-level Treatment					
Village-level Treatment					
Constant					
Observations					

*Note:* The outcome variables are standardized factor analysis results using a number of questions pertaining to the respective outcomes. For all variables, a higher value means a better score for that outcome. Robust standard errors are reported in parentheses. \*  $p < 0.10$ , \*\*  $p < 0.05$ , \*\*\*  $p < 0.01$

# Third generation: attitudes

	(1)	(2)	(3)	(4)	(5)
	Time Preference	Mental Health	Work Ethic	Self Reliance	Life Outlook
Social Mobility		-0.124*** (0.0277)			
Gender					
Entrepreneur					
Income					
Individual-level Treatment					
Village-level Treatment					
Constant					
Observations		1155			

*Note:* The outcome variables are standardized factor analysis results using a number of questions pertaining to the respective outcomes. For all variables, a higher value means a better score for that outcome. Robust standard errors are reported in parentheses. \*  $p < 0.10$ , \*\*  $p < 0.05$ , \*\*\*  $p < 0.01$

# Third generation: attitudes

	(1)	(2)	(3)	(4)	(5)
	Time Preference	Mental Health	Work Ethic	Self Reliance	Life Outlook
Social Mobility		-0.124*** (0.0277)	0.0712*** (0.0240)	0.0549** (0.0235)	0.0549** (0.0255)
Gender					
Entrepreneur					
Income					
Individual-level Treatment					
Village-level Treatment					
Constant					
Observations		1155	1945	1950	1958

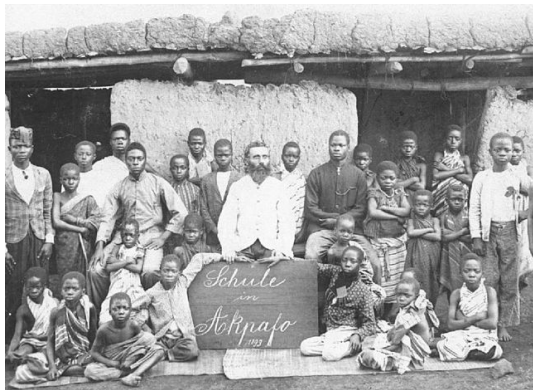
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# Third generation: attitudes

	(1)	(2)	(3)	(4)	(5)
	Time Preference	Mental Health	Work Ethic	Self Reliance	Life Outlook
Social Mobility	-0.0201 (0.0222)	-0.124*** (0.0277)	0.0712*** (0.0240)	0.0549** (0.0235)	0.0549** (0.0255)
Gender	0.145*** (0.0450)	0.0326 (0.0596)	-0.132*** (0.0487)	-0.121*** (0.0466)	-0.0358 (0.0485)
Entrepreneur	-0.122** (0.0487)	-0.0221 (0.0779)	0.00852 (0.0643)	0.00349 (0.0675)	0.0719 (0.0702)
Income	-0.0554 (0.0366)	0.228*** (0.0415)	-0.198*** (0.0316)	-0.213*** (0.0325)	-0.246*** (0.0320)
Individual-level Treatment	0.0452 (0.0489)	0.217*** (0.0590)	0.0205 (0.0486)	0.100** (0.0479)	0.119** (0.0488)
Village-level Treatment	-0.0818 (0.0858)	0.337*** (0.117)	0.0407 (0.0764)	0.0125 (0.0777)	0.170** (0.0732)
Constant	-0.0449 (0.0804)	-0.458*** (0.115)	0.0666 (0.0744)	0.0560 (0.0735)	-0.137* (0.0730)
Observations	1975	1155	1945	1950	1958

*Note:* The outcome variables are standardized factor analysis results using a number of questions pertaining to the respective outcomes. For all variables, a higher value means a better score for that outcome. Robust standard errors are reported in parentheses. \*  $p < 0.10$ , \*\*  $p < 0.05$ , \*\*\*  $p < 0.01$

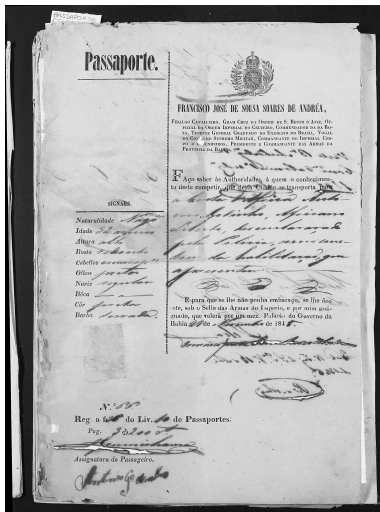
# Ongoing projects



1. Colonial school externalities: Nigeria (the effects of gender, religion).



# Ongoing projects



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## Ongoing projects



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# Summary

- Unique quasi-experimental design: a selective blind two-step hierarchical randomized design with interference, with three generation data on income, education and mobility.
- There is
  1. increasing upward mobility in education and income across generations, and
  2. a persistent effect of grandparents' education on mobility.

# Appendix

## Selection on unobservables in the first generation: Rosenbaum bounds

- Selection of first-generation students may not have been near-random.
- Problem if unobservables positively correlated with education attainment and outcomes.
- How large the differences on unobservables would need to be between the treated and control individuals to eliminate the treatment effects we find?
- Use Rosenbaum (2002) sensitivity bounds.
- Selection on unobservables would have to be very high to eliminate the first-generation treatment effects we find.

	Farmer	Living standards	Network scale
$\Gamma_{p<0.01}$	7.1	2.2	8.3
$\Gamma_{p<0.05}$	10.4	3	14.3
$\Gamma_{p<0.10}$	12.9	3.5	19.2

## Balance checks

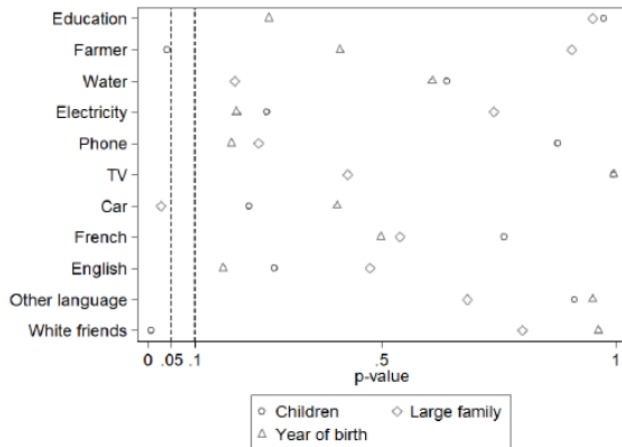
District	Save		Natitinguou		Kandi		Zagnanado	
Village	Boni (T)	Okpara	Winke (T)	Koudengou	Keferi (T)	Sonsoro	Assiadji (T)	Kpedekpo
Elevation (m)	211	163	462	444	294	289	100	37
Soil Quality	0.49	0.49	0.51	0.51	0.51	0.51	1.77	3.22
Average Rainfall (mm)	150	150	108	108	92	92	83	83
Distance to Port (km)	188	186	451	462	530	525	95	98
Navigable Rivers	1	1	0	0	1	1	1	1
Kingdom	0	0	0	0	0	0	1	1
Trading Post	0	0	0	0	0	0	0	0
Years of Resistance	4	4	23	23	22	22	31	31

	Treated parents	Untreated parents in village w/ school	Untreated parents in village w/o school
<b>Pre-treatment</b>			
Number of siblings	3.370 (2.366)	3.059 (2.326)	2.964 (2.114)

► Back

# Recall bias

Probability of missing values



► Back



## Informants and counter-informants

region	number of informants	number of counter-informants
Kandi	51	52
Natitingou	56	58
Save	97	116
Zagnanado	85	99
Total	289	325

► [Back to Data](#)

► [Informant characteristics](#)

# Informant characteristics

	treated parents	untreated parents in village w/ school	untreated parents in village w/o school	total
Number of informants	63	121	105	289
Age				
mean	67.43	68.95	61.85	66.04
min.	39	28	32	28
max.	100	98	96	100
Relationship to the subject (percent of all respondents)				
child/partner	45.16	43.80	38.24	42.11
nephew/niece	17.74	20.66	6.86	15.09
brother/sister/cousin	9.68	14.88	25.49	17.54
grandson/daughter	9.68	16.53	14.71	14.39
neighbor	9.68	3.31	9.80	7.02
other relative	8.06	0.83	4.90	3.86
total	100.00	100.00	100.00	100.00

[▶ Back to Data](#)[▶ Counter-informant chars.](#)

## Counter-informant characteristics

	treated parents	untreated parents in village w/ school	untreated parents in village w/o school	total
Number of informants	74	130	121	325
Age				
mean	64.50	65.52	57.30	62.22
min.	22	22	19	19
max.	90	97	100	100
Relationship to the subject (percent of all respondents)				
child/partner	25.68	33.85	20.00	26.85
nephew/niece	21.62	23.08	25.00	23.46
brother/sister/cousin	18.92	13.08	7.50	12.35
grandson/daughter	29.73	22.31	23.33	24.38
neighbor	4.05	6.15	24.17	12.35
other relative	0.00	1.54	0.00	0.62
total	100.00	100.00	100.00	100.00

[► Back to Data](#)
[► Back to Informant chars.](#)

## First generation: education

	Treatment 1	Treatment 2	Control
Primary or more	0.963 (0.189)	0.008 (0.092)	0.008 (0.091)
Secondary or more	0.098 (0.299)	0.000 (0.000)	0.000 (0.000)

► Back

## First generation: political participation

	Campaign for party	Member of Party	Candidate in Election
Individual treatment	0.339*** (0.053)	0.317*** (0.047)	0.117*** (0.036)
Village treatment	0.045 (0.046)	0.057 (0.061)	-0.021*** (0.007)
Observations	365	362	373

\*  $p < 0.1$ , \*\*  $p < 0.05$ , \*\*\*  $p < 0.01$ . Blocked bootstrapped standard errors clustered by commune.

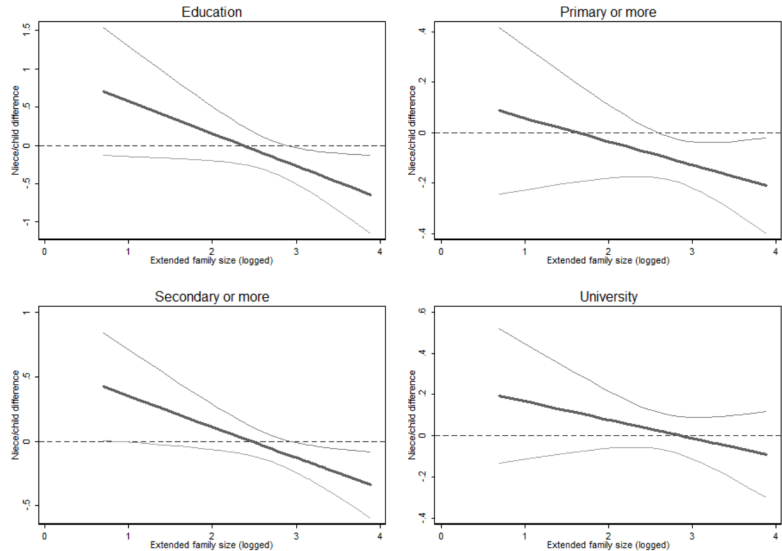
[► Back to Social ties](#)

## Second generation: qualitative evidence on aspirations

- Four matched pairs of very villages.
- Equidistant from local school and government, 2 miles apart.
- One village with at least one first-generation student, the other with none.
  - Treated villages exhibit notably higher second-generation primary school enrollment.
  - E.g., 33 second-generation students in Vedji, 0 in Veme (both in Zagnanado).
- Additional stories of parental aspirations from detailed interviews of 43 descendants.

▶ [Back to family externalities](#)

# Second generation: family tax



► Back to family externalities

## Second generation: specification for transition matrices

- Predicted probabilities are estimated with an ordered logistic model:

$$Y_{i,k} = \beta Y_{i,k-1} + \varepsilon_i$$

where  $Y_{i,k}$  is either education or income scale of individual  $i$  from the  $k$ -th generation and is a function of the outcome of the previous generation,  $Y_{i,k-1}$ .



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- From this model, we compute the predicted probability of the latter generation being in a given stratum.

► [Back to matrix](#)

## Second generation: specification for ordered logistic model

- The basic specification for social mobility in the ordered logistic model is as follows:

$$Y_{i,k} = \beta X_{i,k} + \gamma Y_{i,k-1} + \varepsilon_i$$

where  $Y_{i,k}$  is the scale variable of the  $k$ -th generation, and  $X_{i,k}$  is a set of control variables specific to individual  $i$  from the  $k$ -th generation.

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where  $Y_{i,k}$  is the scale variable of the  $k$ -th generation, and  $X_{i,k}$  is a set of control variables specific to individual  $i$  from the  $k$ -th generation.

- The independent variables are composites of parents' and/or grandparents' income, gender, profession, and treatment status.
- This model is implemented for both education and income scales.

## Second generation: ordered logistic estimates of education mobility

VARIABLES	Category			
	0	1	2	3
First Generation Education	-0.244*** (0.0926)	0.0799** (0.0312)	0.119*** (0.0445)	0.0455** (0.0187)
Individual-level treatment	0.202** (0.0995)	-0.0662** (0.0334)	-0.0983** (0.0479)	-0.0377* (0.0195)
Village-level treatment	-0.256*** (0.0360)	0.0837*** (0.0129)	0.124*** (0.0189)	0.0477*** (0.00893)
Observations	1504			

Standard errors in parentheses

\*  $p < 0.10$  \*\*  $p < 0.05$  \*\*\*  $p < 0.01$

[► Back to matrix](#)

## Second generation: ordered logistic estimates of income mobility

VARIABLES	Category		
	1	2	3
Individual-level treatment	-0.167*** (0.0251)	0.00580** (0.00263)	0.161*** (0.0244)
Village-level treatment	-0.269*** (0.0433)	0.00936** (0.00385)	0.260*** (0.0432)
Observations	1978		

Standard errors in parentheses  
\*  $p < 0.10$  \*\*  $p < 0.05$  \*\*\*  $p < 0.01$

[► Back to matrix](#)

## Third generation: education mobility

		Full Sample					
		Third Generation Education Category					
		0	1	2	3	4	N
Second Generation Education Category	0	49.47%	31.19%	10.86%	7.25%	1.23%	1048
	1	30.16%	34.62%	17.86%	14.62%	2.74%	628
	2	16.00%	28.79%	22.95%	26.27%	6.00%	290
	3	7.75%	18.60%	21.73%	39.28%	12.64%	76
		Control Villages					
		Third Generation Education Category					
		0	1	2	3	4	N
Second Generation Education Category	0	71.20%	21.69%	4.80%	2.22%	0.09%	294
	1	31.34%	39.37%	17.96%	10.86%	0.46%	57
	2	7.78%	23.06%	28.28%	38.44%	2.45%	25
	3	1.53%	6.07%	13.47%	66.94%	11.98%	2

► [Back to income](#)

## Third generation: ordered logistic estimates of income mobility

VARIABLES	Category		
	1	2	3
Individual-level treatment	-0.0230 (0.0125)	-0.0181 (0.0100)	0.0411 (0.0225)
Village-level treatment	-0.263*** (0.0128)	-0.207*** (0.0135)	0.407*** (0.0212)
Observations	1978		

Standard errors in parentheses

\*  $p < 0.10$  \*\*  $p < 0.05$  \*\*\*  $p < 0.01$

[▶ Back to matrix](#)

## Third generation: risk aversion

	(1)	(2)
	Social Risk Aversion	Financial Risk Aversion
Individual-level Treatment	-0.132*** (0.0484)	-0.142*** (0.0485)
Village-level Treatment	0.386*** (0.0648)	0.265*** (0.0641)
Gender	0.0262 (0.0477)	0.0184 (0.0476)
Constant	-0.287*** (0.0668)	-0.180*** (0.0674)
Observations	1985	2041

Standard errors in parentheses

\*  $p < 0.10$ , \*\*  $p < 0.05$ , \*\*\*  $p < 0.01$